



SHEET 1 OF 1

|  |    |   |            |                                    |                       |                          |                               |
|--|----|---|------------|------------------------------------|-----------------------|--------------------------|-------------------------------|
| Form PTO 1449<br>(Modified)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE  |            | ATTY DOCKET NO.<br>210552US0PCT    |                       | SERIAL NO.<br>09/868,903 |                               |
| LIST OF REFERENCES CITED BY APPLICANT  |    |   |            | APPLICANT<br>Stefan DREHER, et al. |                       |                          |                               |
|  |    |   |            | FILING DATE<br>July 9, 2001        |                       | GROUP<br>1714            |                               |
| U.S. PATENT DOCUMENTS  |    |   |            |                                    |                       |                          |                               |
| EXAMINER<br>INITIAL  |    | DOCUMENT<br>NUMBER  | DATE       | NAME                               | CLASS                 | SUB<br>CLASS             | FILING DATE<br>IF APPROPRIATE |
| Pc   | AA | 4,397,968   | 08/09/83   | H. ECK, et al.                     | X                     | X                        |                               |
|  | AB | 4,587,278   | 05/06/86   | B. DOTZAUER, et al.                |                       |                          |                               |
| ad   | AC | 6,051,640   | 04/18/2000 | B. RECK, et al.                    |                       |                          |                               |
|  | AD |   |            |                                    |                       |                          |                               |
|  | AE |   |            |                                    |                       |                          |                               |
|  | AF |   |            |                                    |                       |                          |                               |
|  | AG |   |            |                                    |                       |                          |                               |
|  | AH |   |            |                                    |                       |                          |                               |
|  | AI |   |            |                                    |                       |                          |                               |
|  | AJ |   |            |                                    |                       |                          |                               |
|  | AK |   |            |                                    |                       |                          |                               |
|  | AL |   |            |                                    |                       |                          |                               |
|  | AM |   |            |                                    |                       |                          |                               |
|  | AN |   |            |                                    |                       |                          |                               |
| FOREIGN PATENT DOCUMENTS   |    |   |            |                                    |                       |                          |                               |
|  |    | DOCUMENT<br>NUMBER  | DATE       | COUNTRY                            | TRANSLATION<br>YES NO |                          |                               |
| Pc   | AO | WO 97/15616   | 05/01/97   | WIPO                               |                       |                          | X                             |
|  | AP | WO 97/15617   | 05/01/97   | WIPO                               |                       |                          | X                             |
|  | AQ | WO 94/05896   | 03/17/94   | WIPO                               |                       | NA                       |                               |
|  | AR | WO 92/00335   | 01/09/92   | WIPO                               |                       | NA                       |                               |
|  | AS | 31 11 602   |            | GERMANY (corr. US 4,397,968)       |                       |                          |                               |
|  | AT | 123 234   |            | EUROPE (corr. US 4,587,278)        |                       |                          |                               |
| Pc   | AU | 735 061   |            | EUROPE (corr. US 6,051,640)        |                       |                          |                               |
|  | AV |   |            |                                    |                       |                          |                               |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  |    |   |            |                                    |                       |                          |                               |
| Pc   | AW | R. J. HUNTER, Academic Press, 5 pages, "THE ZETA POTENTIAL IN COLLOIDAL SCIENCE," 1981<br>(Table of Contents only)                |            |                                    |                       |                          |                               |
| Pc   | AX | D. H. NAPPER, Academic Press, pages vii-xvi, "POLYMERIC STABILIZATION OF COLLOIDAL DISPERSIONS," 1983<br>(Table of Contents only) |            |                                    |                       |                          |                               |
|  | AY |   |            |                                    |                       |                          |                               |
|  | AZ |   |            |                                    |                       |                          |                               |
| Examiner<br>Adh  |    |   |            | Date Considered<br>12/03           |                       |                          |                               |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |   |            |                                    |                       |                          |                               |